

# Adult Family Home License Web Application

Version 1.0 August 2023

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# **Chapter 1: SAW and Logging In**

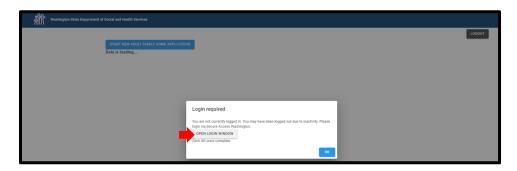
Welcome to the Department of Social & Health Services (DSHS) Adult Family Home License Web Application. To complete the application, a Secure Access Washington (SAW) account must be created.

### A. Create a SAW Account

Go to the ALTSA website at <a href="https://www.dshs.wa.gov/altsa/residential-care-services/information-adult-family-home-providers">https://www.dshs.wa.gov/altsa/residential-care-services/information-adult-family-home-providers</a>.

Click the application link.

The Login required page appears. Click on Open Login Window.



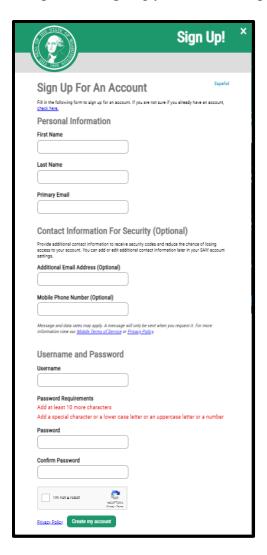
A new tab appears.



# Click on Sign Up!



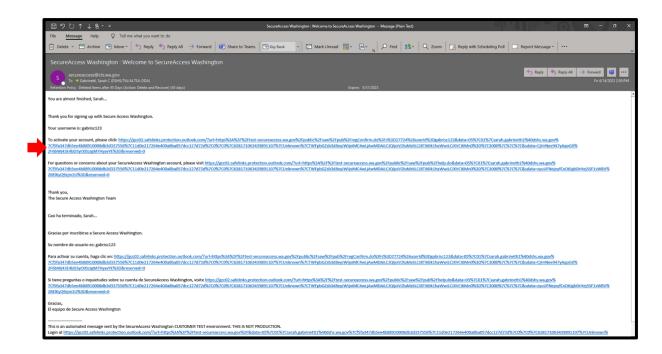
Complete the Sign Up for An Account page.



An email will be sent to validate the email address.



Click the link in the email.



The SAW Login page appears.



Click on the "X" to close the tab.

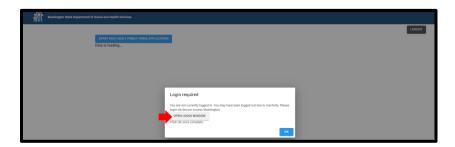


### B. Log in to the Web Application

Go to the ALTSA website at <a href="https://www.dshs.wa.gov/altsa/residential-care-services/information-adult-family-home-providers">https://www.dshs.wa.gov/altsa/residential-care-services/information-adult-family-home-providers</a>.

Click the application link.

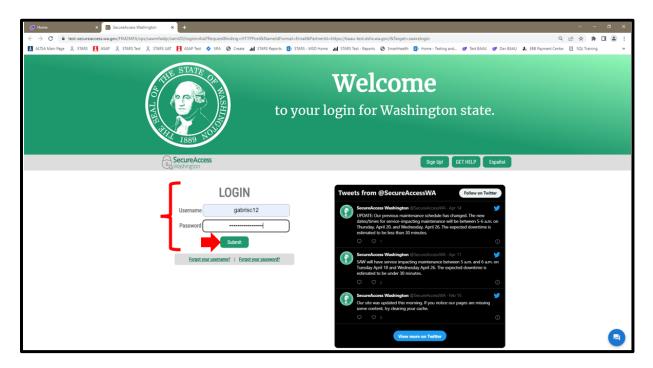
The Login required page appears. Click on Open Login Window.



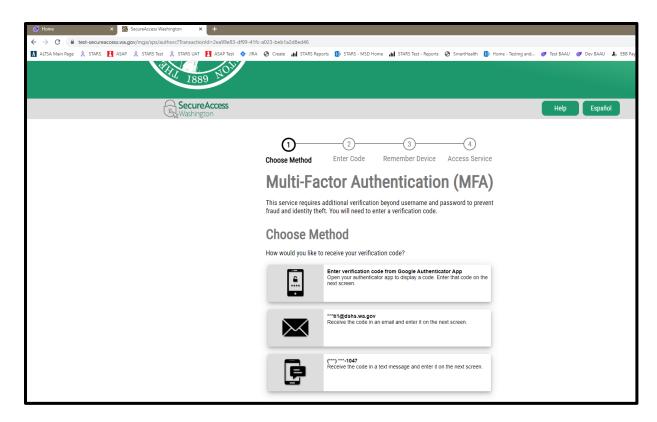
A new tab appears.



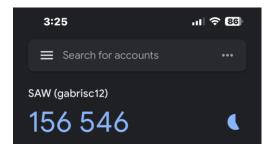
Fill in the Login information and click on Submit.



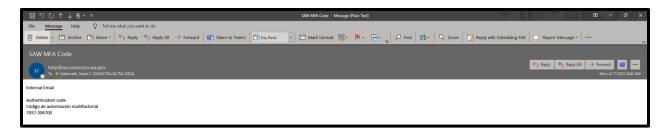
Choose a Multi-Factor Authentication method.



To receive it by an Authenticator App, click on that option.



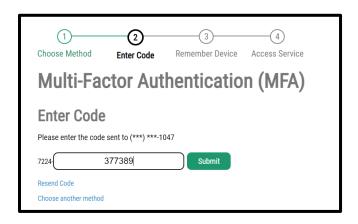
To receive it by email, click on that option.



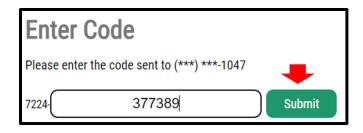
To receive it by text message, click on that option.



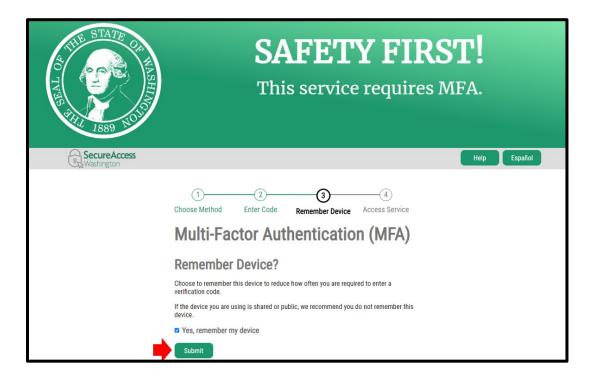
After selecting an option, enter the code.



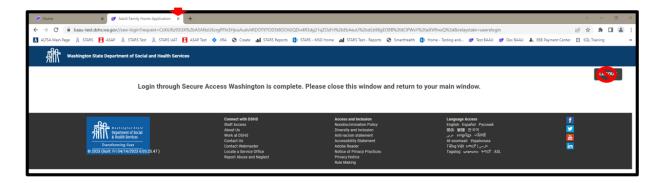
### Click Submit.



Check the checkbox to remember the device and click on Submit.



The SAW website will redirect back to the application. Click the "X" to close the second tab. **Note: Do not click on** *Logout.* 

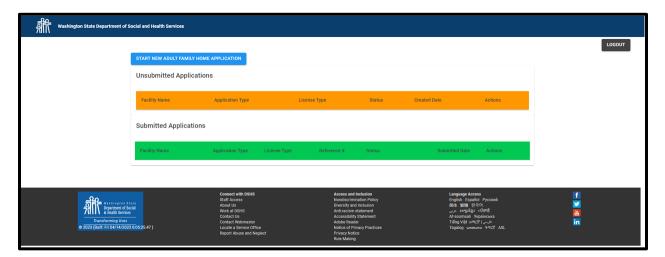


On the main window, click on OK.



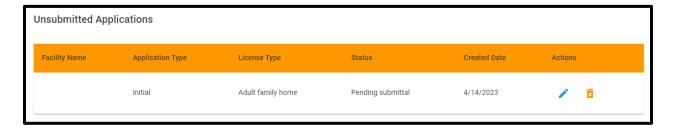
# **Chapter 2: Home Page**

The *Home* page displays the status of the application.



# A. Unsubmitted Applications

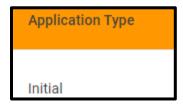
The Unsubmitted Applications section displays the unsubmitted application.



The Facility Name populates the name of the adult family home.



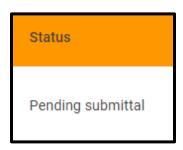
The Application Type show the application type.



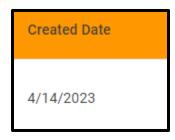
The *License Type* will default to *Adult family home*.



The Status will default to Pending submittal.



The *Created Date* will be the date the application was created or the date the department sent the application back for changes.



The *Actions* column is where the applicant can edit the application (pencil icon) or delete the application (trash can icon) before it is submitted.



# **B. Submitted Applications**

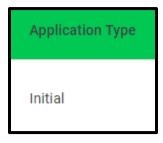
The Submitted Applications section displays the submitted application.



The Facility Name shows the name of the Adult Family Home.



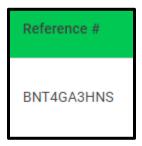
The Application Type shows the type of application submitted.



The *License Type* will be *Adult family home*.



The *Reference* # is the confirmation number receive after the application is submitted.



The Status will either be Submitted to department or Withdrawn.



The Submitted Date is the date application was submitted.



The *Action* column is where the application can either be viewed (eyeball icon) or withdrawn (trash can icon).



# C. Logging Out

Click on *Logout* to log out of the application.



**D. Start New Adult Family Home Application Button**The *Start New Adult Family Home Application* button is how the application is started.

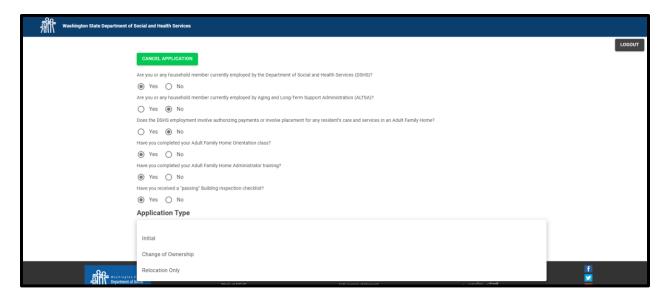
START NEW ADULT FAMILY HOME APPLICATION

# **Chapter 3: Creating an Adult Family Home Application**

Click Start New Adult Family Home Application.

START NEW ADULT FAMILY HOME APPLICATION

The Application Screening Questions page appears.



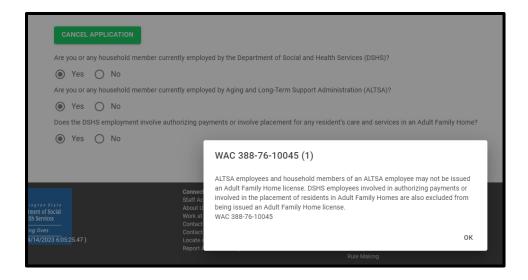
# A. Cancel Application Button

Click Cancel Application to go back to the Home page.



# **B. DSHS Employee Questions**

If anyone in the household is a DSHS/ALTSA employee or DSHS employee that processes payments regarding placement of residents in adult family homes, they will not be able to apply.



### Click OK.

# WAC 388-76-10045 (1)

ALTSA employees and household members of an ALTSA employee may not be issued an Adult Family Home license. DSHS employees involved in authorizing payments or involved in the placement of residents in Adult Family Homes are also excluded from being issued an Adult Family Home license.

WAC 388-76-10045



Click Cancel Application.

**CANCEL APPLICATION** 

# C. Additional Prerequisite Questions

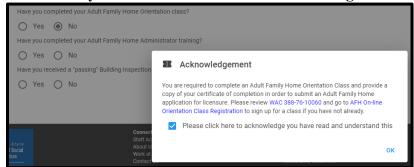
Answer the questions regarding:

- 1. Adult Family Home Orientation class
- 2. Adult Family Home Administrator training
- 3. Passed the Building Inspection Checklist

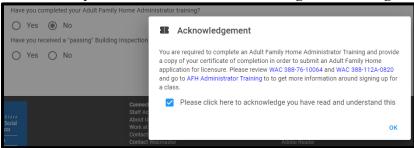
Have you completed your Adult Family Home Orientation class?				
O Yes O No	0			
Have you completed your Adult Family Home Administrator training?				
O Yes O No	0			
Have you received a "passing" Building Inspection checklist?				
O Yes O No	0			

If "No" is selected, complete the acknowledgement message.

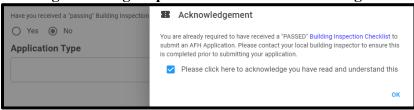
### **Adult Family Home Orientation Class Acknowledgement**



### Adult Family Home Administrator Training Acknowledgement



# "Passing" Building Inspection Checklist Acknowledgement

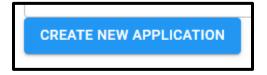


# **D. Application Type**

Select the Application Type.

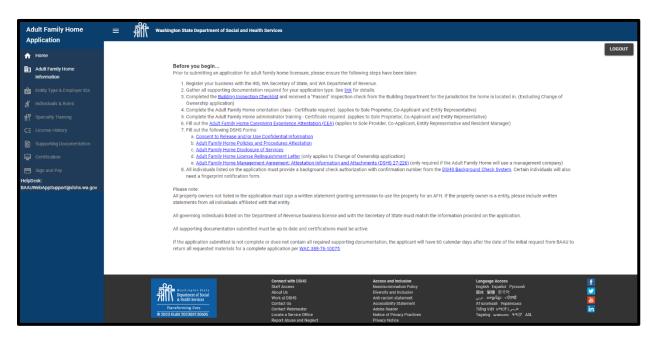


Click Create New Application.



### E. Before You Begin... Page

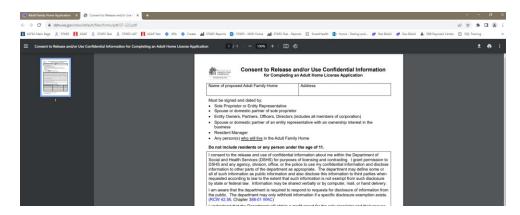
The Before you begin... page appears with information to help complete the application.



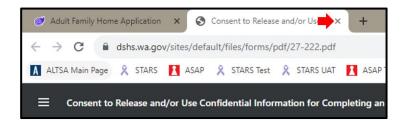
Words or phrases that are blue with a line are a link. Click on it to view the information.

- a. Consent to Release and/or Use Confidential Information
- b. Adult Family Home Policies and Procedures Attestation
- c. Adult Family Home Disclosure of Services
- d. Adult Family Home License Relinquishment Letter (only applies to Change of Ownership application)
- e. Adult Family Home Management Agreement: Attestation Information and Attachments (DSHS 27-226)

The link will open in a new tab.

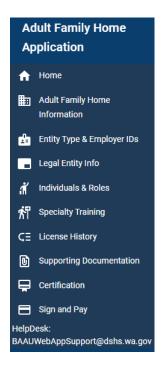


Click on the "X" to close the second tab and get back to the application.



# F. Side Menu

The side menu include links to the *Home* page and the various pages of the application.



# **Chapter 4: How to Upload Supporting Documentation**

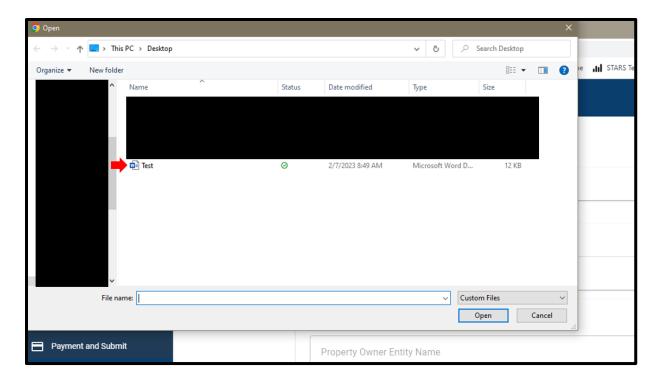
Throughout the application supporting documentation will be uploaded.

Click on the "+" button.

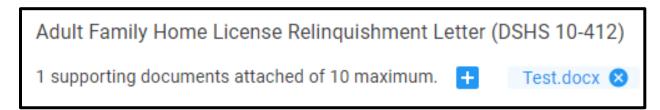


Note: Only file types JPEG, PNG, JPG, GIF, TIFF, .doc, .docx, .rtf, .xls, .xlsx, .csv, and PDF can be uploaded.

Locate the file and select it.



The file will upload to the page.



Click on the document name to view it.

Adult Family Home License Relinquishment Letter (DSHS\_10-412)

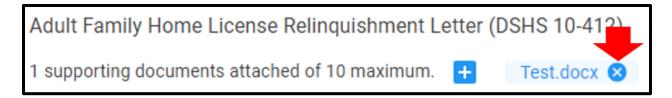
1 supporting documents attached of 10 maximum. 

Test.docx 

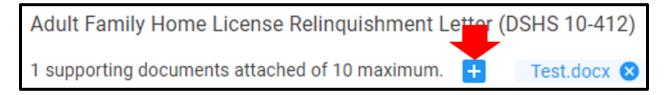
\*\*

Test.docx \*\*

Click on the "x" to delete it.



Click on the "+" button to upload more documents.



# **Chapter 5: Application Pages**

The application pages are:

- Adult Family Home Information
- Entity Type & Employer IDs
- Legal Entity Info
- Individuals & Roles
- Specialty Training
- License History
- DSHS Employee(s)
- Supporting Documentation
- Certification
- Sign and Pay

### Things to Remember

- The page currently be worked on must be completed and saved before the next page is available.
- Not all pages may need to be completed.
- A required field becomes red with a message if missed or when the page is saved.

Zip Code

The Zip Code field is required.

• The Save and Continue button displays different messages if something required is missed.

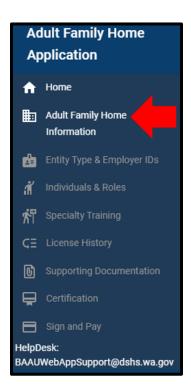
SAVE AND CONTINUE

- If there are issues with the web application, click on the help email in the side menu (BAAUWebAppSupport@dshs.wa.gov) and include the following in the email.
  - o The application type.
  - o The page being worked on.
  - o The error that was received.
  - o Preferred method of contact, email, phone, etc.

# A. Adult Family Home Information

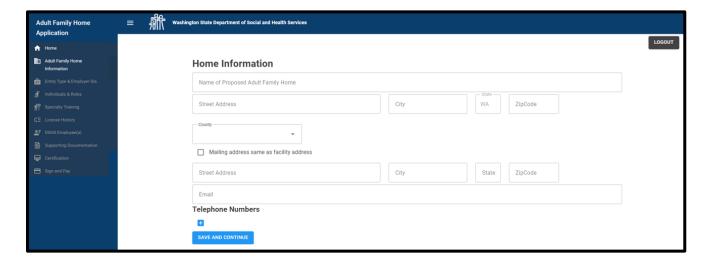
On this page, complete the adult family home information.

Click on the link in the side menu.



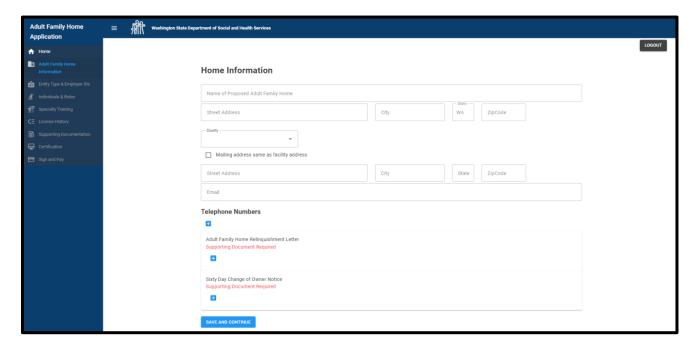
### **Initial**

Initial application fields.



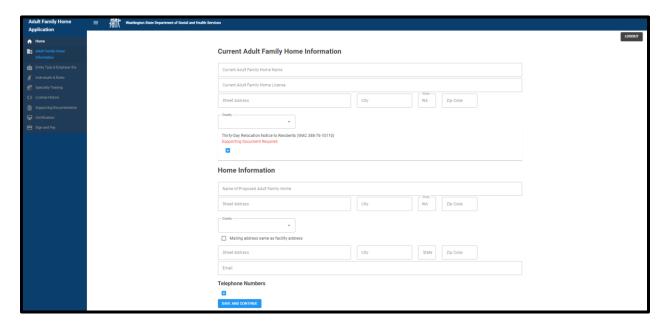
# **Change of Ownership**

Change of Ownership application fields.



# **Relocation Only**

Relocation Only application fields.



### **Supporting Documentation**

This table shows a list of which documents are required to submit the application.

# **Application Type**

Document Type	Initial	Relocation Only	Change of Ownership
Thirty-Day Relocation Notice to Residents (WAC 388-76-10110)		x	
Adult Family Home License Relinquishment Letter (DSHS 10-412)			х
Sixty-Day Change of Owner Notice to Residents (WAC 388-76-10106)		·	x

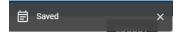
The department will let the applicant know if they need to submit any other documents after the application has been submitted.

For instructions on how to upload the supporting documentation, see <u>Chapter 4: How to Upload Supporting Documentation.</u>

After completing the page, click Save and Continue.

# SAVE AND CONTINUE

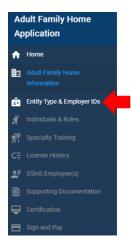
A "Saved" message appears in the upper right-hand corner of the screen.



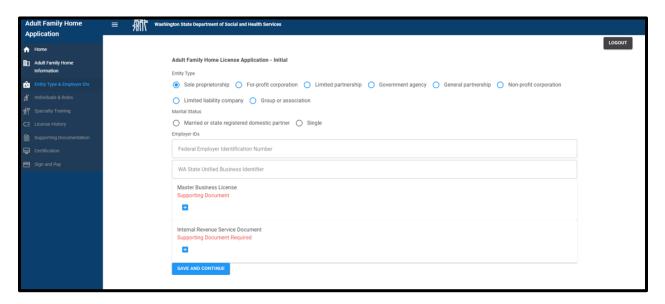
# **B. Entity Type & Employer IDs**

On this page, indicate the type of entity the application for.

Click on the link in the side menu.



The page will appear.



### **Entity Type**

Select the entity type.



### **Marital Status**

Complete the Marital Status section if Sole Proprietorship is selected.



### **Co-Applicant**

Completed the co-applicant question if they applicant is married or has a state registered domestic partner.



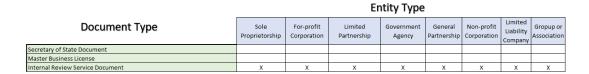
### **Employer IDs**

Complete the *Federal Employer Identification Number* (limited to 9 digits) and *Washington State Unified Business Identifier* (limited to 9 digits).



### **Supporting Documentation**

This table shows a list of which documents are required to submit the application.



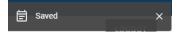
The department will let the applicant know if they need to submit any other documents after the application has been submitted.

For instructions on how to upload the supporting documentation, see <u>Chapter 4: How to Upload Supporting Documentation.</u>

Click Save and Continue.



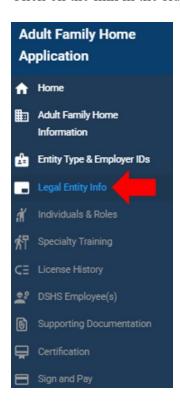
A "Saved" message appears in the upper right-hand corner of the screen.



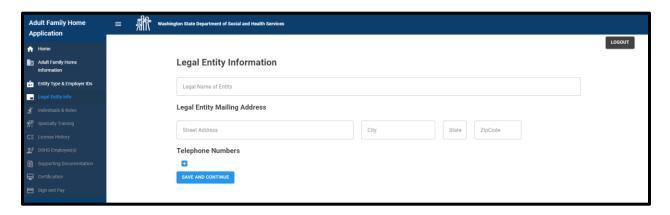
# C. Legal Entity Info

This page will display for entity types other than sole proprietor.

Click on the link in the side menu.



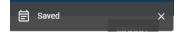
The page will appear.



Click Save and Continue after completing the page.

SAVE AND CONTINUE

A "Saved" message appears in the upper right-hand corner of the screen.



### D. Individuals & Roles

On this page, list all individuals involved in the adult family home, including what role they will have.

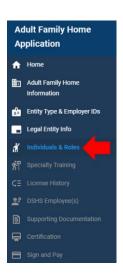
The page will either appear after the *Legal Entity Info* page if it was completed.



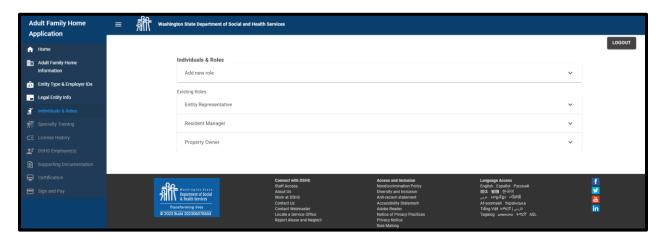
Or after the *Entity Type & Employer IDs* page is completed if the *Legal Entity Info* page was not completed.



Click on the link in the side menu.



The page appears.



### **Roles**

### Add New Role

To add additional roles, click on the down arrow.



A list of available roles to select from will appear. This list is based on how other pages have been completed.



Click on the role to select it.



It populates in the Existing Roles section.



### Existing Roles

Required roles will display in the *Existing Roles* section based on how other pages have been completed.

These are the required roles for a non-profit corporation.



This is a list of all existing roles based on the entity type.

Entity Type	Existing Roles
Sole Proprietorship	Sole Proprietor
	Co-applicant (If married or has a state registered domestic partner)
	Spouse (If they are not a co-applicant)
	Resident Manager
	Property Owner
	Entity Representative
- 50	Individual/Person Associated with Legal Business Entity
For-profit Corporation	Resident Manager
	Property Owner
	Entity Representative
Limited Destruction	Individual/Person Associated with Legal Business Entity
Limited Partnership	Resident Manager
	Property Owner
	Entity Representative
Covernment Agency	Individual/Person Associated with Legal Business Entity
Government Agency	Resident Manager
	Property Owner
	Entity Representative
General Partnership	Individual/Person Associated with Legal Business Entity
General Partiteiship	Resident Manager
	Property Owner
	Entity Representative
Non-profit Corporation	Individual/Person Associated with Legal Business Entity
Non-pront corporation	Resident Manager
	Property Owner
	Entity Representative
Limited Liability Company	Individual/Person Associated with Legal Business Entity
	Resident Manager
	Property Owner
	Entity Representative
Group or Association	Individual/Person Associated with Legal Business Entity
	Resident Manager
	Property Owner

Note: a spouse or domestic partner of an entity representative needs to be added if they will be taking an interest in the adult family home.

### **Buttons**

Click the down arrow for the role to view the buttons. Some buttons will appear after the person has been added to the role.



### Add Person

Click on Add Person to add the details.



#### Edit Person

The *Add Person* button will become the *Edit Person* button after the person is saved. When editing a person in multiple roles, it will update the information for all the roles.



### Add Existing or Change Person

Click the Add Existing or Change Person to add an existing person or change a person.

ADD EXISTING OR CHANGE PERSON

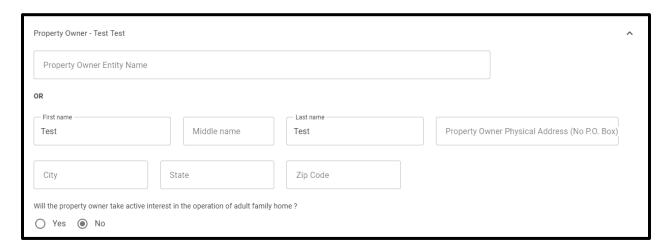
The Change or existing person panel appears to the right of the screen.



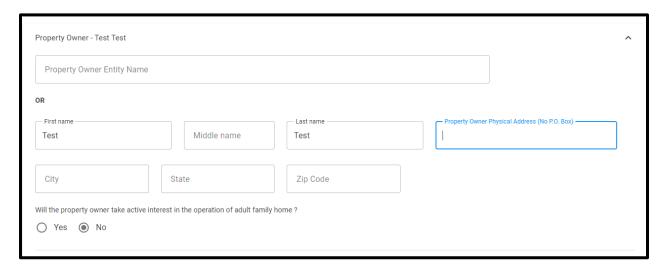
Click on the person's name.



The fields become editable with any corresponding fields completed from the role the person is already associated to.



Complete the rest of the fields before saving the person.



Do not click on the "x". It deletes the person from the application and from any roles they were associated with.



#### *Upload Supporting Documentation*

Upload the supporting documentation for that role. The applicant needs to save the person first before they click on *Upload Supporting Documentation*.

UPLOAD SUPPORTING DOCUMENTATION

This table shows a list of which documents are required to submit the application.

	Application Type									
a)		Initial	Relocation Only	Change of Ownership	Resident Manager	Individual/Person Associated with Legal Business Entity	Spouse or State-registered Domestic Partner	Caregiver Living in home	Non-resident Living in Home	Property Owner
	Adult Family Home Orientation Certificate									
	Adult Family Home Administrator Training Certificate	X	X	X						
	Government Issued ID	X	X	X						
	Proof of Education - WAC 388-76-10130(2)									
	Adult Family Home Caregiving Experience Attestation (Form DSHS 10-417)									
	First Aid/CPR Certificate(s)									
	Washington State Food WorkerCard									
	Home Care Aide Certification or Proof of Exemption - WAC 246-980-025									
2	Background Check Authorization (DSHS 09-653)	X	X	X	X	X	×	X	X	
	DSHS Fingerprient Results									
	Property Owner Written Statenent									

The department will let the applicant know if they need to submit any other documents after the application has been submitted.

For additional instructions on how to upload the supporting documentation, see <u>Chapter 4: How to Upload Supporting Documentation</u>.

#### Save Person

Click Save Person to save the information.

SAVE PERSON

#### Cancel

Click Cancel to not save the changes.



#### Delete Role

Click *Delete Role* to delete the role.

DELETE ROLE

#### Save and Continue

Click Save and Continue to save the page.

SAVE AND CONTINUE

#### List of Roles and Required Fields

The following is a list of all the roles and their required fields.

#### Sole Proprietor



The sole proprietor must be 21 years old.



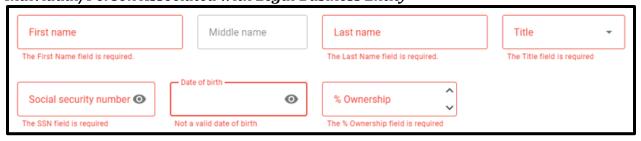
#### **Entity Representative**



The entity representative must be 21 years old.



### Individual/Person Associated with Legal Business Entity



The individual/person associated with legal business entity must be 21 years old.



### Resident Manager



The resident manager must be 21 years old.



# Spouse or State-registered Domestic Partner



### Co-applicant



The co-applicant must be 21 years old.



Caregiver Living in Home



Non-resident Living in Home



Note: Individuals under the age of 11 do not need to be listed. The system will not allow you to save the person if they are under the age of 11.

**Property Owner** 



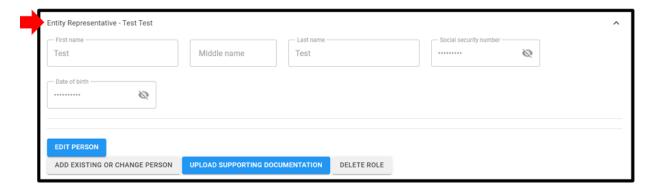
If the property owner is added first and not be taking an active interest in the adult family home, they cannot be added to another role.



However, if a property owner is added to another role first, they will need to be deleted from the other role if determined they will not be taking an active interest in the adult family home.

For example, Test Test is added as the entity representative. Test Test is then added as the property owner. It is decided later Test Test will not be taking an active interest in the home. Test Test needs to be deleted from the entity representative role.

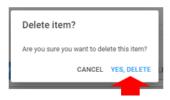
Go to the Entity Representative role.



#### Click Delete Role.



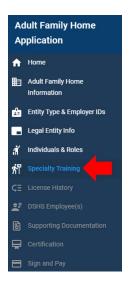
### Click Yes, Delete.



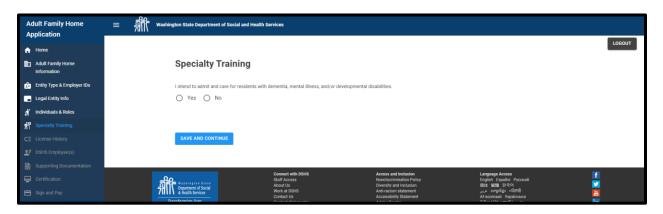
### **E. Specialty Training**

On this page, indicate if residents with dementia, mental illness, and/or developmental disabilities will be admitted and cared for.

Click on the link in the side menu.



Answer the specialty training statement.



### Will not be Admitting Residents

Click *No* if residents with dementia, mental illness, and/or developmental disabilities will not be admitted and cared for.

I intend to admit and care for residents with dementia, mental illness, and/or developmental disabilities.

Yes 

No

Save the page and continue to the *License History* page.

#### **SAVE AND CONTINUE**

#### Will be Admitting Residents

Click Yes if residents with dementia, mental illness, and/or developmental disabilities will be admitted and cared for.

I intend to admit and care for residents with dementia, mental illness, and/or developmental disabilities.

Yes No

The specialty types and roles appear. This screen will vary based on how other pages have been completed.

### Sole Proprietor, Co-Applicant, and Resident Manager



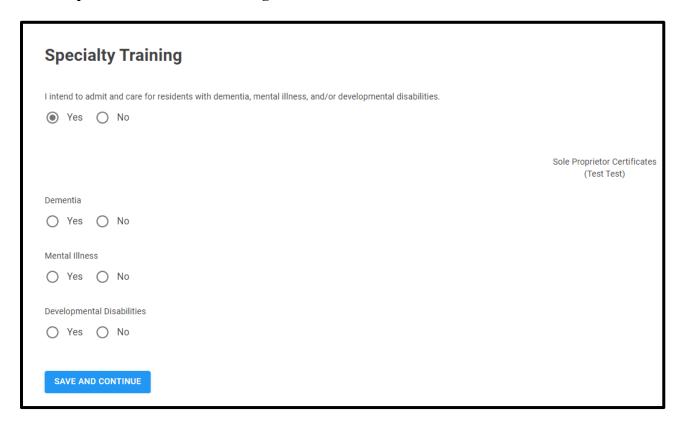
# **Sole Proprietor and Resident Manager**

Specialty Training					
I intend to admit and care for residents with dementia, mental illness, and/or developmental disabilities.   No					
	Sole Proprietor Certificates (Test Test)	Resident Manager Certificates (Test2 Test2)			
Dementia					
○ Yes ○ No					
Mental Illness					
○ Yes ○ No					
Developmental Disabilities					
○ Yes ○ No					
SAVE AND CONTINUE					

**Entity Representative and Resident Manager** 

Specialty Training					
I intend to admit and care for residents with dementia, mental illness, and/or developmental disabilities.					
Yes     No					
	Entity Representative Certificates (Test1 Test1)	Resident Manager Certificates (Test2 Test2)			
Dementia					
○ Yes ○ No					
Mental Illness					
○ Yes ○ No					
Developmental Disabilities					
○ Yes ○ No					
SAVE AND CONTINUE					

### Sole Proprietor and Resident Manager - Same Person

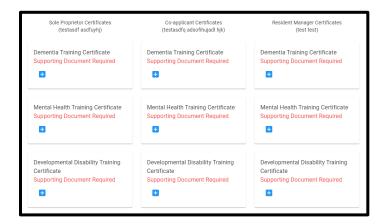


# **Supporting Documentation**

Click Yes to indicate the specialty.



Upload the documentation for that specialty type.



For instructions on how to upload the supporting documentation, see <u>Chapter 4: How to Upload Supporting Documentation.</u>

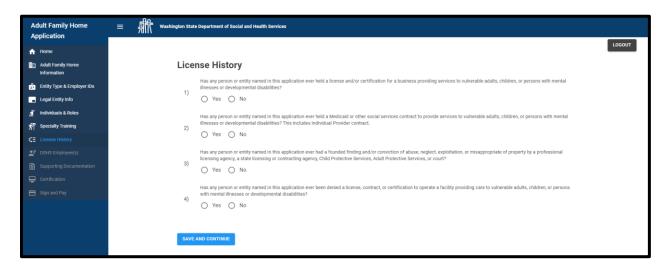
# F. License History

On this page, indicate any previous licenses, contracts, or certifications.

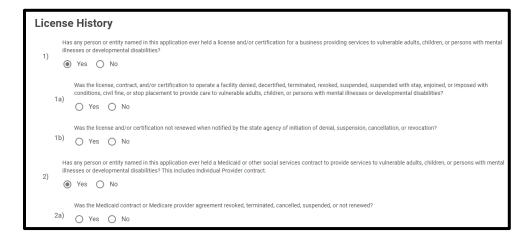
Click on the link in the side menu.



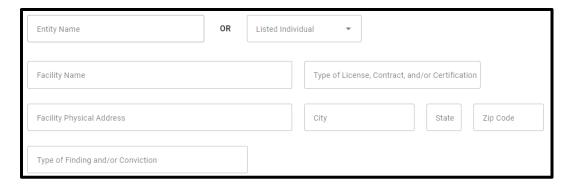
Answer the questions.



If yes is answered for questions 1 or 2, there will be additional sub-questions.

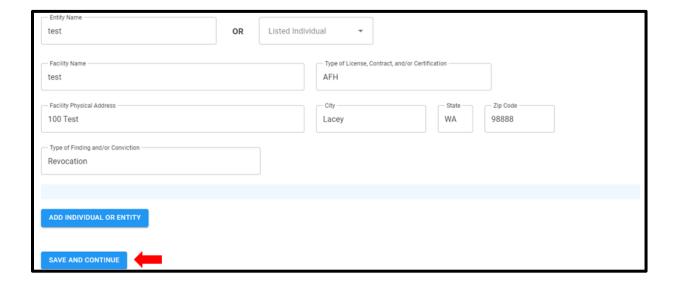


### Complete the fields.



### **Adding Additional Individual or Entity**

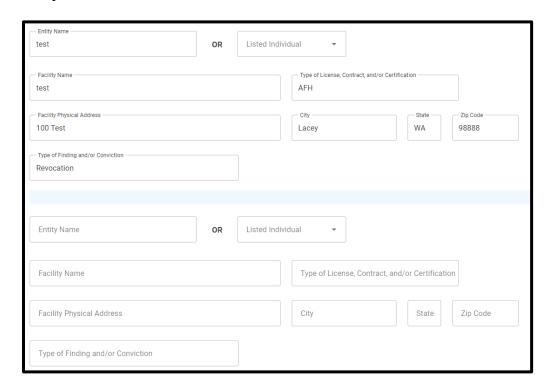
Click Save and Continue.



### Click Add Individual or Entity.



# Complete the fields.



Click Save and Continue.

SAVE AND CONTINUE

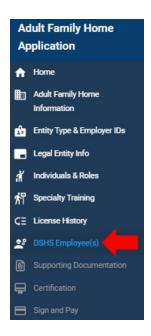
A "Saved" message appears in the upper right-hand corner of the screen.



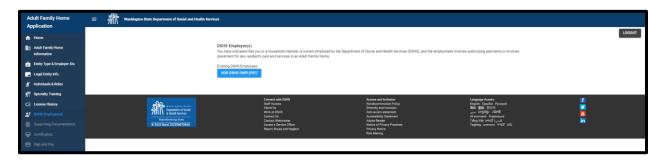
# **G. DSHS Employee(s)**

On this page, indicate any household members currently employed by DSHS.

Click on the link in the side menu.



A statement regarding DSHS employment appears.



Click Add DSHS Employee.



# Complete the fields.



Click Cancel to not save the person.



Click Save Person to save the information.



A "Saved" message appears in the upper right-hand corner of the screen.



### **Adding Additional DSHS Employees**

Click Add DSHS Employee.

# ADD DSHS EMPLOYEE

Complete the fields.



Click Save Person to save the information.



# **H. Supporting Documentation**

On this page, upload additional supporting documents.

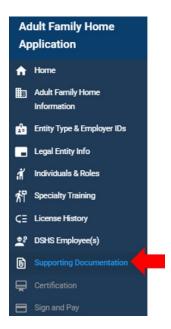
The page will either appear after the DSHS Employee(s) page if it was completed.



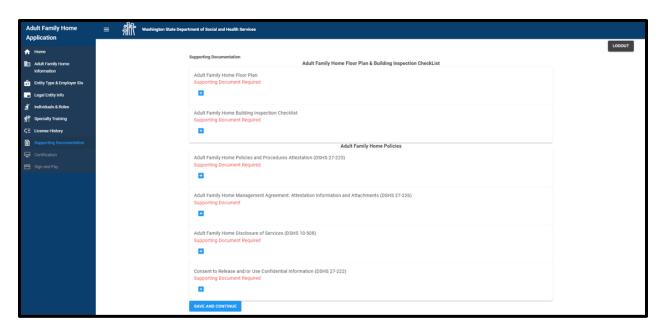
Or after the *License History* page is completed if the *DSHS Employee(s)* page was not completed.



Click on the link in the side menu.



A list of documents will appear.



This table shows a list of which documents are required to submit the application.

### **Application Type**

Document Type	Initial	Relocation Only	Change of Ownership
Adult Family Home Floor Plan	X	X	Х
Adult Family Home Building Inspection Checklist	X	X	
Adult Family Home Policies and Procedures Attestation (DSHS 27-223)	X	X	X
Adult Family Home Management Agreement: Attestation Information and Attachments (DSHS 27-226)			
Adult Family Home Discloser of Services (DSHS 10-508)	X	X	X
Consent to Release and/or Use Confidential Information (DSHS 27-222)	X	X	Х

The department will let the applicant know if they need to submit any other documents after the application has been submitted.

For instructions on how to upload the supporting documentation, see <u>Chapter 4: How to Upload Supporting Documentation.</u>

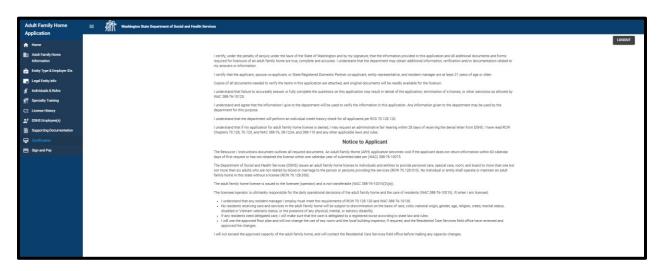
### I. Certification

On this page, review the notices.

Click on the link in the side menu.



The page appears.



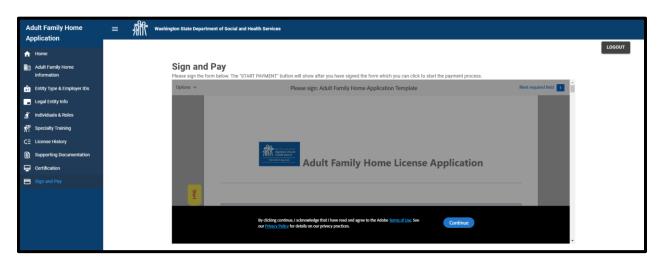
# J. Sign and Pay

On this page, sign the application and pay the application fee.

Click on the link in the side menu.



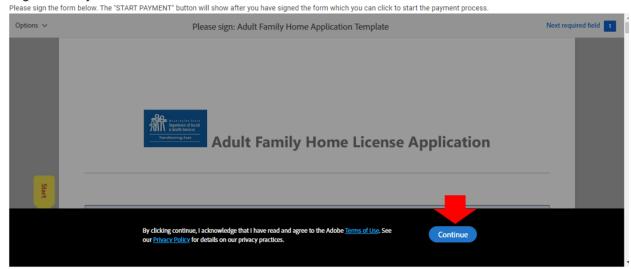
The page appears.



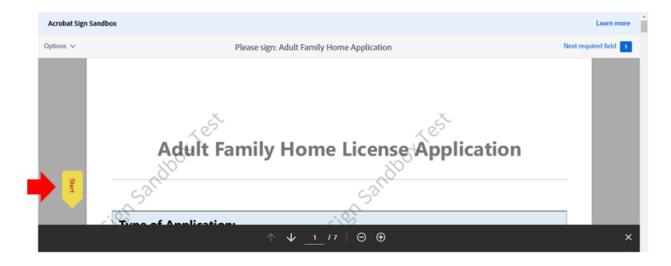
# **Signing the Application**

Click continue.

### Sign and Pay



#### Click on Start.



### Select Click here to sign.



Sign the application and click Apply.



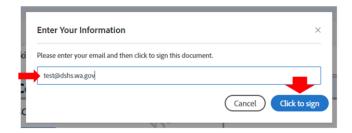
### Select Click to Sign.



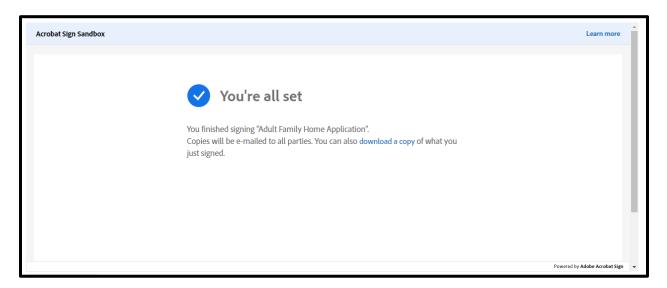
#### Enter an email address.



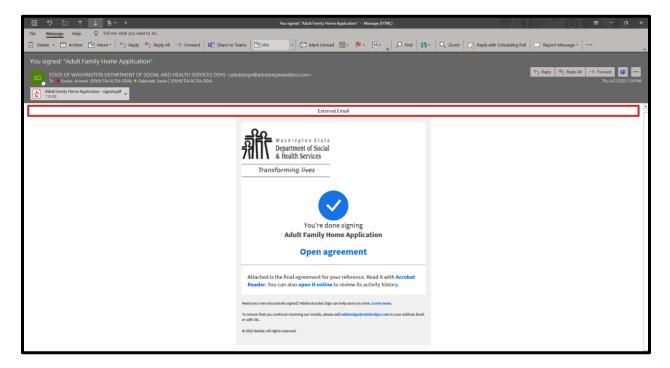
### Select Click to sign.



A message appears stating the application has been signed.

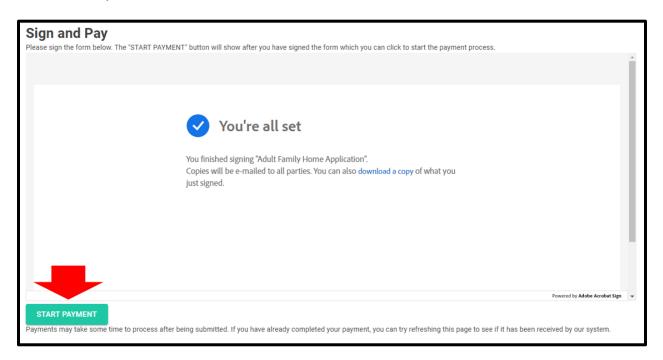


An email will be sent with a PDF version of the application.

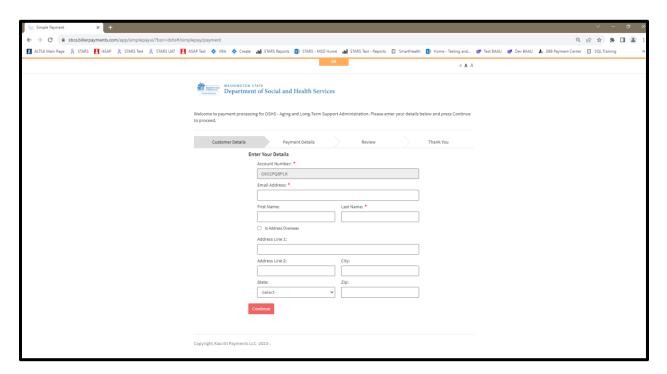


### **Submitting the Payment**

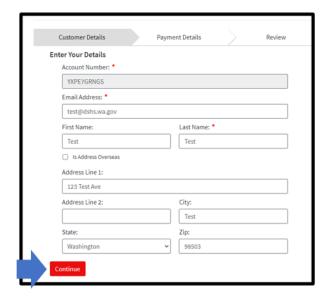
Click Start Payment.



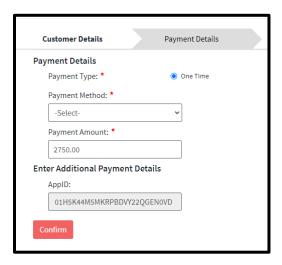
The payment site appears.



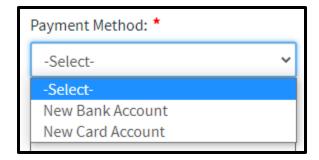
Complete the Customer Details tab and click Continue.



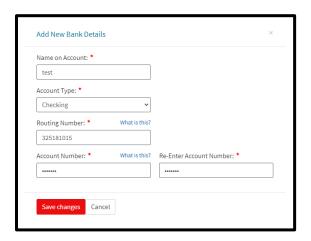
The Payment Details tab appears. The payment amount cannot be changed.



Select the Payment Method.



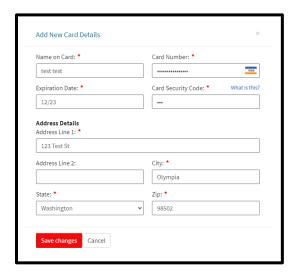
Select New Back Account to enter checking account information.



Save changes.

# Save changes

Select *New Card Account* to enter debit/credit card information. There is a 2.9% fee when using a debit or credit card.



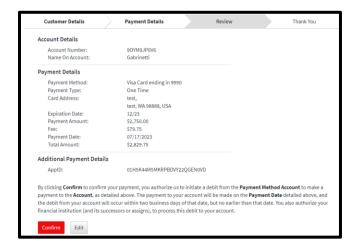
Save changes.

Save changes

Click Confirm.



#### The *Review* tab appears.



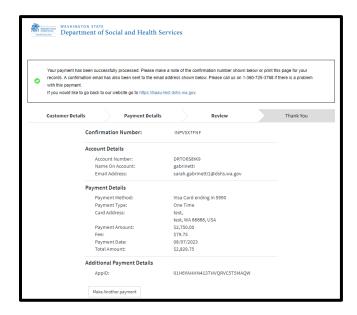
### Click *Edit* to make changes.



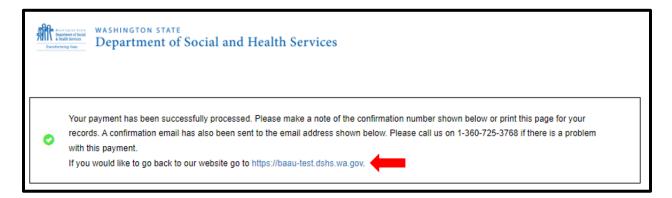
Click on *Confirm* to not make changes.



### The *Thank You* tab appears if *Confirm* was clicked.



To get back to the application, click on the link to the application.



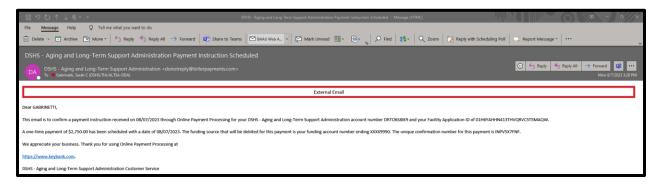
The page will refresh back to the *Home* page.



The application is in the Submitted Applications section.

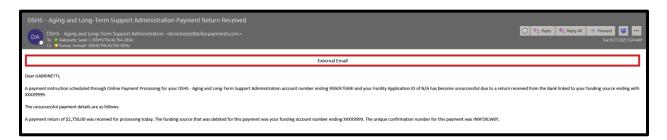


A confirmation email regarding the payment will be sent to the applicant and the department.



#### **Resubmitting the Payment Due to NSF ACH**

An email will be sent if ACH (checking account) was decline due to non-sufficient funds.



Resubmit the payment using a different checking account or debit/credit card.

### Resubmitting the Payment Due to Credit/Debit Card Being Declined

Notification will be immediate if a credit or debit care will be declined. Use a different credit or debit card or payment method to submit payment.

### **Viewing Submitted Application**

Click the "eye" icon to view the application.

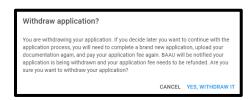


### Withdrawing the Application after Submission

Click the trash can icon to withdraw the submitted application.



The following message appears. Click "Yes, Withdraw It".



The status of the application will update.

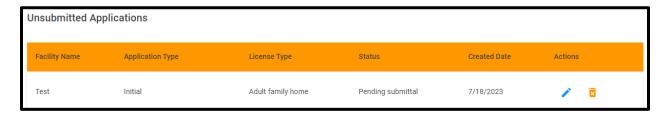


Notification is sent to the department.

# **K. Editing Application after Submission**

The department will unlock the application and notify the applicant if changes need to be made

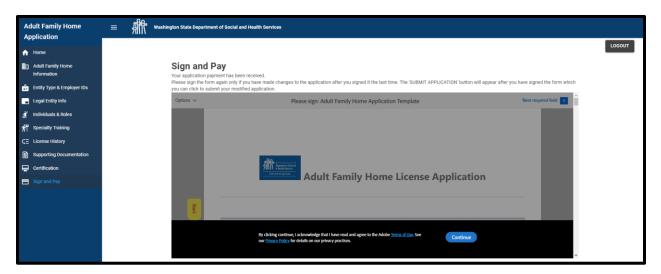
The unlocked application will be in the *Unsubmitted Applications* section.



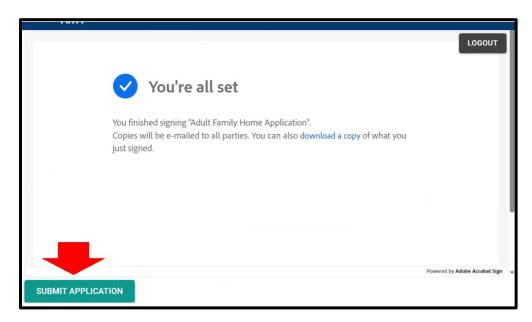
Click the pencil icon.



Make any changes the requested changes and resign the application.



Once it is resigned, resubmit the application.



# **Revision History**

This document has been revised from the original draft. Revisions are described in the table below.

Revision Date	Version	Description	Initials
08/01/23	1.0	Initial version	SCG